## PS Topologie und Funktionalanalysis Giacomo Sodini und Gerald Teschl WS2023/24

Note: References refer to the lecture notes.

1. Suppose  $\sum_{n=1}^{\infty} |c_n| < \infty$ . Show that

$$u(t,x) := \sum_{n=1}^{\infty} c_n e^{-(\pi n)^2 t} \sin(n\pi x),$$

is continuous for  $(t, x) \in [0, \infty) \times [0, 1]$  and solves the heat equation for  $(t, x) \in (0, \infty) \times [0, 1]$ . (Hint: Weierstrass M-test. When can you interchange the order of summation and differentiation?)

- 2. Show that  $|||f|| ||g||| \le ||f g||$ .
- 3. Let X be a Banach space. Show that the norm, vector addition, and multiplication by scalars are continuous. That is, if  $f_n \to f$ ,  $g_n \to g$ , and  $\alpha_n \to \alpha$ , then  $||f_n|| \to ||f||$ ,  $f_n + g_n \to f + g$ , and  $\alpha_n g_n \to \alpha g$ .
- 4. While  $\ell^1(\mathbb{N})$  is separable, it still has room for an uncountable set of linearly independent vectors. Show this by considering vectors of the form

 $a^{\alpha} = (1, \alpha, \alpha^2, \dots), \qquad \alpha \in (0, 1).$ 

(Hint: Recall the Vandermonde determinant.)

5. Prove Young's inequality

$$\alpha^{1/p}\beta^{1/q} \leq \frac{1}{p}\alpha + \frac{1}{q}\beta, \qquad \frac{1}{p} + \frac{1}{q} = 1, \quad \alpha, \beta \geq 0.$$

Show that equality occurs precisely if  $\alpha = \beta$ . (Hint: Take logarithms on both sides.)

- 6. Show that  $\ell^p(\mathbb{N}), 1 \leq p < \infty$ , is complete.
- 7. Show that  $\ell^{\infty}(\mathbb{N})$  is a Banach space.
- 8. Is  $\ell^1(\mathbb{N})$  a closed subspace of  $\ell^{\infty}(\mathbb{N})$  (with respect to the  $\|.\|_{\infty}$  norm)? If not, what is its closure?
- 9. Show that  $\ell^{\infty}(\mathbb{N})$  is not separable. (Hint: Consider sequences which take only the value one and zero. How many are there? What is the distance between two such sequences?)
- 10. Show that there is equality in the Hölder inequality for 1 if andonly if either <math>a = 0 or  $|b_j|^q = \alpha |a_j|^p$  for all  $j \in \mathbb{N}$ . Show that we have equality in the triangle inequality for  $\ell^1(\mathbb{N})$  if and only if  $a_j b_j^* \ge 0$  for all  $j \in \mathbb{N}$  (here the '\* denotes complex conjugation). Show that we have equality in the triangle inequality for  $\ell^p(\mathbb{N})$  with 1 if and only if<math>a = 0 or  $b = \alpha a$  with  $\alpha \ge 0$ .

- 11. Let X be a normed space. Show that the following conditions are equivalent.
  - (i) If ||x + y|| = ||x|| + ||y|| then  $y = \alpha x$  for some  $\alpha \ge 0$  or x = 0.
  - (ii) If ||x|| = ||y|| = 1 and  $x \neq y$  then  $||\lambda x + (1-\lambda)y|| < 1$  for all  $0 < \lambda < 1$ .
  - (iii) If ||x|| = ||y|| = 1 and  $x \neq y$  then  $\frac{1}{2}||x+y|| < 1$ .
  - (iv) The function  $x \mapsto ||x||^2$  is strictly convex.

A norm satisfying one of them is called strictly convex.

Show that  $\ell^p(\mathbb{N})$  is strictly convex for  $1 but not for <math>p = 1, \infty$ .

12. Show that  $p_0 \leq p$  implies  $\ell^{p_0}(\mathbb{N}) \subset \ell^p(\mathbb{N})$  and  $||a||_p \leq ||a||_{p_0}$ . Moreover, show

$$\lim_{p \to \infty} \|a\|_p = \|a\|_{\infty}.$$

- 13. Consider X = C([-1, 1]). Which of the following subsets are subspaces of X? If yes, are they closed?
  - (i) monotone functions
  - (ii) even functions
  - (iii) continuous piecewise linear functions
  - (iv)  $\{f \in C([-1,1]) | f(c) = f_0\}$  for some fixed  $c \in [-1,1]$  and  $f_0 \in \mathbb{R}$
- 14. Let I be a compact interval. Show that the set  $Y := \{f \in C(I, \mathbb{R}) | f(x) > 0\}$  is open in  $X := C(I, \mathbb{R})$ . Compute its closure.
- 15. Compute the closure of the following subsets of  $\ell^1(\mathbb{N})$ : (i)  $B_1 := \{a \in \ell^1(\mathbb{N}) | \sum_{j \in \mathbb{N}} |a_j|^2 \le 1\}$ . (ii)  $B_\infty := \{a \in \ell^1(\mathbb{N}) | \sum_{j \in \mathbb{N}} |a_j|^2 < \infty\}$ .
- 16. Which of the following bilinear forms are scalar products on  $\mathbb{R}^n$ ?
  - (i)  $s(x,y) := \sum_{j=1}^{n} (x_j + y_j).$
  - (ii)  $s(x,y) := \sum_{j=1}^{n} \alpha_j x_j y_j, \ \alpha \in \mathbb{R}^n.$
- 17. Show that the norm in a Hilbert space satisfies ||f+g|| = ||f|| + ||g|| if and only if  $f = \alpha g$ ,  $\alpha \ge 0$ , or g = 0. Hence Hilbert spaces are strictly convex.
- 18. Show that the maximum norm on C[0, 1] does not satisfy the parallelogram law.
- 19. Show that  $\ell^p(\mathbb{N})$ ,  $1 \leq p \leq \infty$ , is a Hilbert space if and only if p = 2.
- 20. Suppose  $\mathfrak{Q}$  is a complex vector space. Let s(f,g) be a sesquilinear form on  $\mathfrak{Q}$  and q(f) := s(f, f) the associated quadratic form. Prove the parallelogram law

$$q(f+g) + q(f-g) = 2q(f) + 2q(g)$$

and the polarization identity

$$s(f,g) = \frac{1}{4} \left( q(f+g) - q(f-g) + i q(f-ig) - i q(f+ig) \right).$$

Show that s(f, g) is symmetric if and only if q(f) is real-valued.

Note, that if  $\mathfrak{Q}$  is a real vector space, then the parallelogram law is unchanged but the polarization identity in the form  $s(f,g) = \frac{1}{4}(q(f+g) - q(f-g))$  will only hold if s(f,g) is symmetric.

- 21. Prove the claims made about  $f_n$  in Example 1.11.
- 22. Show that the integral defined in Example 1.13 satisfies

$$\int_{c}^{e} f(x)dx = \int_{c}^{d} f(x)dx + \int_{d}^{e} f(x)dx, \qquad \left|\int_{c}^{d} f(x)dx\right| \le \int_{c}^{d} |f(x)|dx.$$

How should |f| be defined here?

- 23. Show Hölder's inequality for continuous functions and conclude that  $\|.\|_p$  fulfills the requirements of a norm on C(I).
- 24. Show that in a Banach space X a totally bounded set U is bounded.
- 25. Find a compact subset of  $\ell^{\infty}(\mathbb{N})$  which does not satisfy (ii) from Fréchet's theorem (Theorem 1.13).
- 26. Which of the following families are relatively compact in C[0, 1]?
  - (i)  $F := \{ f \in C^1[0,1] | || f ||_{\infty} \le 1 \}$
  - (ii)  $F := \{ f \in C^1[0,1] | || f' ||_{\infty} \le 1 \}$
  - (iii)  $F := \{ f \in C^1[0,1] | || f ||_{\infty} \le 1, || f' ||_2 \le 1 \}$
- 27. Show that two norms on X are equivalent if and only if they give rise to the same convergent sequences.
- 28. Show that a finite dimensional subspace  $M \subseteq X$  of a normed space is closed.
- 29. Let X := C[0,1]. Investigate if the following operators  $A : X \to X$  are linear and, if yes, compute the norm.
  - (i)  $f(x) \mapsto (1-x)x f(x^2)$ .
  - (ii)  $f(x) \mapsto (1-x)x f(x)^2$ .
  - (iii)  $f(x) \mapsto \int_0^1 (1-x)y f(y) dy.$
- 30. Let X := C[0,1]. Show that  $\ell(f) := \int_0^1 f(x) dx$  is a linear functional. Compute its norm. Is the norm attained? What if we replace X by  $X_0 := \{f \in C[0,1] | f(0) = 0\}$  (in particular, check that this is a closed subspace)?
- 31. Let X := C[0, 1]. Investigate the operator  $A : X \to X$ ,  $f(x) \mapsto x f(x)$ . Show that this is a bounded linear operator and compute its norm. What is the closure of  $\operatorname{Ran}(A)$ ?

32. Show that the integral operator

$$(Kf)(x) := \int_0^1 K(x,y)f(y)dy$$

where  $K(x, y) \in C([0, 1] \times [0, 1])$ , defined on  $\mathfrak{D}(K) := C[0, 1]$ , is a bounded operator in  $X := \mathcal{L}_{cont}^2(0, 1)$ .

- 33. Let I be a compact interval. Show that the set of differentiable functions  $C^1(I)$  becomes a Banach space if we set  $||f||_{\infty,1} := \max_{x \in I} |f(x)| + \max_{x \in I} |f'(x)|$ .
- 34. Show that  $||AB|| \leq ||A|| ||B||$  for every  $A, B \in \mathfrak{L}(X)$ . Conclude that the multiplication is continuous:  $A_n \to A$  and  $B_n \to B$  imply  $A_n B_n \to AB$ .
- 35. Suppose  $B \in \mathfrak{L}(X)$  with ||B|| < 1. Then  $\mathbb{I} + B$  is invertible with

$$(\mathbb{I} + B)^{-1} = \sum_{n=0}^{\infty} (-1)^n B^n.$$

Consequently for  $A, B \in \mathfrak{L}(X, Y), A+B$  is invertible if A is invertible and  $||B|| < ||A^{-1}||^{-1}$ .

36. Let

$$f(z) := \sum_{j=0}^{\infty} f_j z^j, \qquad |z| < R,$$

be a convergent power series with radius of convergence R > 0. Suppose X is a Banach space and  $A \in \mathfrak{L}(X)$  is a bounded operator with  $\limsup_n \|A^n\|^{1/n} < R$  (note that by  $\|A^n\| \leq \|A\|^n$  the limsup is finite). Show that

$$f(A) := \sum_{j=0}^{\infty} f_j A^j$$

exists and defines a bounded linear operator. Moreover, if f and g are two such functions and  $\alpha \in \mathbb{C}$ , then

$$(f+g)(A) = f(A) + g(A), \quad (\alpha f)(A) = \alpha f(a), \quad (fg)(A) = f(A)g(A).$$

(Hint: Problem 1.8 from the lecture notes.)

- 37. Show that a linear map  $\ell : X \to \mathbb{C}$  is continuous if and only if its kernel is closed. (Hint: If  $\ell$  is not continuous, we can find a sequence of normalized vectors  $x_n$  with  $|\ell(x_n)| \to \infty$  and a vector y with  $\ell(y) = 1$ .)
- 38. Let  $X_j$ , j = 1, ..., n, be Banach spaces. Then  $(\bigoplus_{p,j=1}^n X_j)^* \cong \bigoplus_{q,j=1}^n X_j^*$ , where  $\frac{1}{p} + \frac{1}{q} = 1$ .
- 39. Compute ||[e]|| in  $\ell^{\infty}(\mathbb{N})/c_0(\mathbb{N})$ , where e := (1, 1, 1, ...).
- 40. Let  $X := \ell^p(\mathbb{N})$  and  $M := \{a \in X | a_{2n} = 0\}, N := \{a \in X | n a_{2n} = a_{2n-1}\}$ . Is  $M \neq N$  closed?
- 41. Let  $\ell$  be a nontrivial linear functional. Then its kernel has codimension one.

- 42. Suppose  $A \in \mathfrak{L}(X, Y)$ . Show that  $\operatorname{Ker}(A)$  is closed. Suppose  $M \subseteq \operatorname{Ker}(A)$  is a closed subspace. Show that the induced map  $\tilde{A} : X/M \to Y, [x] \mapsto Ax$  is a well-defined operator satisfying  $\|\tilde{A}\| = \|A\|$  and  $\operatorname{Ker}(\tilde{A}) = \operatorname{Ker}(A)/M$ . In particular,  $\tilde{A}$  is injective for  $M = \operatorname{Ker}(A)$ .
- 43. Show that if a closed subspace M of a Banach space X has finite codimension, then it can be complemented. (Hint: Start with a basis  $\{[x_j]\}$  for X/M and choose a corresponding dual basis  $\{\ell_k\}$  with  $\ell_k([x_j]) = \delta_{j,k}$ .)
- 44. Let I := [a, b] be a compact interval and consider  $C^1(I)$ . Which of the following is a norm? In case of a norm, is it equivalent to  $\|.\|_{1,\infty}$ ?
  - (i)  $||f'||_{\infty}$
  - (ii)  $|f(a)| + ||f'||_{\infty}$
  - (iii)  $\int_{a}^{b} |f(x)| dx + ||f'||_{\infty}$
- 45. Given some vectors  $f_1, \ldots, f_n$  we define their Gram determinant as

$$\Gamma(f_1,\ldots,f_n) := \det\left(\langle f_j, f_k \rangle\right)_{1 \le j,k \le n}.$$

Show that the Gram determinant is nonzero if and only if the vectors are linearly independent. Moreover, show that in this case

$$\operatorname{dist}(g,\operatorname{span}\{f_1,\ldots,f_n\})^2 = \frac{\Gamma(f_1,\ldots,f_n,g)}{\Gamma(f_1,\ldots,f_n)}$$

and

$$\Gamma(f_1, \dots, f_n) \le \prod_{j=1}^n ||f_j||^2.$$

with equality if the vectors are orthogonal. (Hint: First establish  $\Gamma(f_1, \ldots, f_j + \alpha f_k, \ldots, f_n) = \Gamma(f_1, \ldots, f_n)$  for  $j \neq k$  and use it to investigate how  $\Gamma$  changes when you apply the Gram–Schmidt procedure?)

- 46. Give an example of a nonempty closed bounded subset of a Hilbert space which does not contain an element with minimal norm. Can this happen in finite dimensions? (Hint: Look for a discrete set.)
- 47. Show that the set of vectors  $\{c^n := (1, n^{-1}, n^{-2}, \dots)\}_{n=2}^{\infty}$  is total in  $\ell^2(\mathbb{N})$ . (Hint: Use that for any  $a \in \ell^2(\mathbb{N})$  the functions  $f(z) := \sum_{j \in \mathbb{N}} a_j z^{j-1}$  is holomorphic in the unit disc.)
- 48. Let I = (a, b) be some interval and consider the scalar product

$$\langle f,g \rangle := \int_a^b f(x)^* g(x) w(x) dx$$

associated with some positive weight function w(x). Let  $P_j(x) = x^j + ...$  be the corresponding monic orthogonal polynomials obtained by applying the Gram-Schmidt procedure (without normalization) to the monomials:

$$\int_{a}^{b} P_{i}(x)P_{j}(x)w(x)dx = \begin{cases} \alpha_{j}^{2}, & i = j, \\ 0, & \text{otherwise.} \end{cases}$$

Let  $\bar{P}_j(x) := \alpha_j^{-1} P_j(x)$  be the corresponding orthonormal polynomials and show that they satisfy the three term recurrence relation

$$a_j \bar{P}_{j+1}(x) + b_j \bar{P}_j(x) + a_{j-1} \bar{P}_{j-1}(x) = x \bar{P}_j(x),$$

or equivalently

$$P_{j+1}(x) = (x - b_j)P_j(x) - a_{j-1}^2P_{j-1}(x)$$

where

$$a_j := \int_a^b x \bar{P}_{j+1}(x) \bar{P}_j(x) w(x) dx, \qquad b_j := \int_a^b x \bar{P}_j(x)^2 w(x) dx.$$

Here we set  $P_{-1}(x) = \overline{P}_{-1}(x) \equiv 0$  for notational convenience.

- 49. Consider  $\mathfrak{H} := \ell^2(\mathbb{Z})$ . A sequence  $a \in \mathfrak{H}$  is called even if  $a_j = a_{-j}$  for all  $j \in \mathbb{Z}$ . Show that the set of even sequences M forms a closed subspace. Compute  $P_M$  and  $M^{\perp}$ .
- 50. Let  $M_1$ ,  $M_2$  be two subspaces of a Hilbert space  $\mathfrak{H}$ . Show that  $(M_1 + M_2)^{\perp} = M_1^{\perp} \cap M_2^{\perp}$ . If in addition  $M_1$  and  $M_2$  are closed, show that  $(M_1 \cap M_2)^{\perp} = \overline{M_1^{\perp} + M_2^{\perp}}$ .
- 51. Show that  $\ell(a) = \sum_{j=1}^{\infty} \frac{a_j + a_{j+2}}{2^j}$  defines a bounded linear functional on  $X := \ell^2(\mathbb{N})$ . Compute its norm.
- 52. Suppose  $P \in \mathfrak{L}(\mathfrak{H})$  satisfies

$$P^2 = P$$
 and  $\langle Pf, g \rangle = \langle f, Pg \rangle$ 

and set  $M := \operatorname{Ran}(P)$ . Show

- Pf = f for  $f \in M$  and M is closed,
- $\operatorname{Ker}(P) = M^{\perp}$

and conclude  $P = P_M$ .

- 53. Let  $\mathfrak{H}$  be a Hilbert space and K a nonempty closed convex subset. Prove that K has a unique element of minimal norm.
- 54. Let  $\mathfrak{H}_1, \mathfrak{H}_2$  be Hilbert spaces and let  $u \in \mathfrak{H}_1, v \in \mathfrak{H}_2$ . Show that the operator

$$Af := \langle u, f \rangle v$$

is bounded and compute its norm. Compute the adjoint of A.

55. Let  $\mathfrak{H}_1, \mathfrak{H}_2$  be Hilbert spaces and  $A \in \mathfrak{L}(\mathfrak{H}_1, \mathfrak{H}_2)$ . Prove

$$\|A\| = \sup_{\|g\|_{\mathfrak{H}_2} = \|f\|_{\mathfrak{H}_1} = 1} |\langle g, Af \rangle_{\mathfrak{H}_2}| \le C.$$

(Hint: Use  $||f|| = \sup_{||g||=1} |\langle g, f \rangle|$  — compare Theorem 1.5.)

56. Let  $\mathfrak{H}_1, \mathfrak{H}_2$  be Hilbert spaces and suppose  $A \in \mathfrak{L}(\mathfrak{H}_1, \mathfrak{H}_2)$  has a bounded inverse  $A^{-1} \in \mathfrak{L}(\mathfrak{H}_2, \mathfrak{H}_1)$ . Show  $(A^{-1})^* = (A^*)^{-1}$ .

57. Show

$$\operatorname{Ker}(A^*) = \operatorname{Ran}(A)^{\perp}$$

- 58. Show Theorem 3.1.
- 59. Is the left shift  $(a_1, a_2, a_3, \dots) \mapsto (a_2, a_3, \dots)$  compact in  $\ell^2(\mathbb{N})$ ?
- 60. Is the operator  $\frac{d}{dx} : C^k[0,1] \to C[0,1]$  compact for k = 1,2? (Hint: Problem 44 and Example 3.3 from the lecture notes.)
- 61. Find the eigenvalues and eigenfunctions of the integral operator  $K \in \mathfrak{L}(\mathcal{L}_{cont}^2(0,1))$  given by

$$(Kf)(x) := \int_0^1 u(x)v(y)f(y)dy,$$

where  $u, v \in C([0, 1])$  are some given continuous functions.

62. Find the eigenvalues and eigenfunctions of the integral operator  $K \in \mathfrak{L}(\mathcal{L}_{cont}^2(0,1))$  given by

$$(Kf)(x) := 2 \int_0^1 (2xy - x - y + 1)f(y)dy.$$

63. Let  $\mathfrak{H} := \mathcal{L}^2_{cont}(0,1)$ . Show that the Volterra integral operator  $K : \mathfrak{H} \to \mathfrak{H}$  defined by

$$(Kf)(x) := \int_{a}^{x} K(x, y) f(y) dy,$$

where  $K(x, y) \in C([a, b] \times [a, b])$ , has no eigenvalues except for 0. Show that 0 is no eigenvalue if K(x, y) is  $C^1$  and satisfies K(x, x) > 0. Why does this not contradict Theorem 3.6? (Hint: Gronwall's inequality.)

- 64. Show that the inverse  $(A-z)^{-1}$  (provided it exists and is densely defined) of a symmetric operator A is again symmetric for  $z \in \mathbb{R}$ . (Hint:  $g \in \mathfrak{D}(R_A(z))$  if and only if g = (A-z)f for some  $f \in \mathfrak{D}(A)$ .)
- 65. Prove that every subset of a meager set is again meager and every superset of a fat set is fat.
- 66. Let X be a complete metric space. Prove that the complement of a meager set is dense.
- 67. Let X be a Banach space and Y, Z normed spaces. Show that a bilinear map  $B: X \times Y \to Z$  is bounded,  $||B(x, y)|| \leq C||x|| ||y||$ , if and only if it is separately continuous with respect to both arguments. (Hint: Uniform boundedness principle.)
- 68. Show that a compact symmetric operator in an infinite-dimensional Hilbert space cannot be surjective.
- 69. Let  $X := \mathbb{C}^3$  equipped with the norm  $|(x, y, z)|_1 := |x| + |y| + |z|$  and  $Y := \{(x, y, z) \in X | x + y = 0, z = 0\}$ . Find at least two extensions of  $\ell(x, y, z) := x$  from Y to X which preserve the norm. What if we take  $Y := \{(x, y, z) \in X | x + y = 0\}$ ?

- 70. Consider X := C[0,1] and let  $f_0(x) := 1 2x$ . Find at least two linear functionals with norm one such that  $\ell(f_0) = 1$ .
- 71. Show that the extension from Corollary 4.13 is unique if  $X^*$  is strictly convex. (Hint: Problem 11.)
- 72. Let X be some normed space. Show that

$$||x|| = \sup_{\ell \in V, \, ||\ell|| = 1} |\ell(x)|,$$

where  $V \subset X^*$  is some dense subspace. Show that equality is attained if  $V = X^*$ .

- 73. Let  $c_0(\mathbb{N}) \subset \ell^{\infty}(\mathbb{N})$  be the subspace of sequences which converge to 0, and  $c(\mathbb{N}) \subset \ell^{\infty}(\mathbb{N})$  the subspace of convergent sequences.
  - (i) Show that  $c_0(\mathbb{N})$ ,  $c(\mathbb{N})$  are both Banach spaces and that  $c(\mathbb{N}) = \text{span}\{c_0(\mathbb{N}), e\}$ , where  $e := (1, 1, 1, ...) \in c(\mathbb{N})$ .
  - (ii) Show that every  $l \in c_0(\mathbb{N})^*$  can be written as

$$l(a) = \sum_{j \in \mathbb{N}} b_j a_j$$

with some unique  $b \in \ell^1(\mathbb{N})$  which satisfies  $||b||_1 = ||\ell||$ .

(iii) Show that every  $l \in c(\mathbb{N})^*$  can be written as

$$l(a) = \sum_{j \in \mathbb{N}} b_j a_j + b_0 \lim_{j \to \infty} a_j$$

with some  $b \in \ell^1(\mathbb{N})$  which satisfies  $|b_0| + ||b||_1 = ||\ell||$ .

- 74. Show that if X, Y are Banach spaces and  $A \in \mathfrak{L}(X, Y)$ , then  $\operatorname{Ran}(A)^{\perp} = \operatorname{Ker}(A')$  and  $\operatorname{Ran}(A')_{\perp} = \operatorname{Ker}(A)$ .
- 75. Let X be a Banach space and let  $\ell_n, \ell \in X^*$ . Let us write  $\ell_n \stackrel{\sim}{\rightharpoonup} \ell$  provided the sequence converges pointwise, that is,  $\ell_n(x) \to \ell(x)$  for all  $x \in X$ . Let  $N \subseteq X^*$  and suppose  $\ell_n \stackrel{*}{\rightharpoonup} \ell$  with  $\ell_n \in N$ . Show that  $\ell \in (N_{\perp})^{\perp}$ .
- 76. Consider the multiplication operator  $A : \ell^1(\mathbb{N}) \to \ell^1(\mathbb{N})$  with  $(Aa)_j := \frac{1}{j}a_j$ . Show that  $\operatorname{Ran}(A)$  is not closed but dense while  $\operatorname{Ran}(A')$  is neither closed nor dense. In particular, show  $\operatorname{Ker}(A)^{\perp} = \{0\}^{\perp} = \ell^{\infty}(\mathbb{N}) \supset \overline{\operatorname{Ran}(A')} = c_0(\mathbb{N}).$
- 77. Let X be a normed space. Suppose  $\ell_n \to \ell$  in  $X^*$  and  $x_n \rightharpoonup x$  in X. Prove that  $\ell_n(x_n) \to \ell(x)$ . Similarly, suppose s-lim  $\ell_n = \ell$  and  $x_n \to x$ . Prove that  $\ell_n(x_n) \to \ell(x)$ . Does this still hold if s-lim  $\ell_n = \ell$  and  $x_n \rightharpoonup x$ ?
- 78. Let X, Y be normed spaces. Show that  $x_n \rightharpoonup x$  in X implies  $Ax_n \rightharpoonup Ax$  for  $A \in \mathfrak{L}(X, Y)$ . Conversely, show that if  $x_n \to 0$  in X implies  $Ax_n \rightharpoonup 0$  for a linear operator  $A : X \to Y$ , then  $A \in \mathfrak{L}(X, Y)$ .

79. Establish Lemma 4.34 in the case of weak convergence. (Hint: The formula

$$||A|| = \sup_{x \in X, \, ||x|| = 1; \, \ell \in V, \, ||\ell|| = 1} |\ell(Ax)|,$$

might be useful.)

- 80. Show that if  $\{\ell_j\} \subseteq X^*$  is total in  $X^*$  for a Banach space X, then  $x_n \to x$  in X if and only if  $x_n$  is bounded and  $\ell_j(x_n) \to \ell_j(x)$  for all j. Show that this is wrong without the boundedness assumption. (Hint: Take e.g.  $X = \ell^2(\mathbb{N})$ .)
- 81. Let X be a Banach algebra. Show  $\sigma(x^{-1}) = \sigma(x)^{-1}$  if  $x \in X$  is invertible.
- 82. An element  $x \in X$  from a Banach algebra satisfying  $x^2 = x$  is called a projection. Compute the resolvent and the spectrum of a projection.
- 83. If  $X := \mathfrak{L}(L^p(I))$ , then every  $x \in C(I)$  gives rise to a multiplication operator  $M_x \in X$  defined as  $M_x f := x f$ . Show  $r(M_x) = ||M_x|| = ||x||_{\infty}$  and  $\sigma(M_x) = \operatorname{Ran}(x)$ .
- 84. If  $X := \mathfrak{L}(\ell^p(\mathbb{N})), 1 \leq p \leq \infty$ , then every  $m \in \ell^\infty(\mathbb{N})$  gives rise to a multiplication operator  $M \in X$  defined as  $(Ma)_n := m_n a_n$ . Show  $r(M) = ||M|| = ||m||_{\infty}$  and  $\sigma(M) = \overline{\operatorname{Ran}(m)}$ .
- 85. Let X be a  $C^*$  algebra and  $x \in X$  be self-adjoint. Show that the following are equivalent:
  - (i)  $\sigma(x) \subseteq [0,\infty)$ .
  - (ii) x is positive.
  - (iii)  $\|\lambda x\| \le \lambda$  for all  $\lambda \ge \|x\|$ .
  - (iv)  $\|\lambda x\| \leq \lambda$  for one  $\lambda \geq \|x\|$ .
- 86. Let X be a  $C^*$  algebra. Show that if  $x \in X$  is unitary then  $\sigma(x) \subseteq \{\alpha \in \mathbb{C} | |\alpha| = 1\}.$
- 87. Let X be a  $C^*$  algebra and suppose that  $x \in X$  is self-adjoint. Show that

$$\|(x-\alpha)^{-1}\| = \frac{1}{\operatorname{dist}(\alpha, \sigma(x))},$$

with the convention that  $1/0 = \infty$  and that  $||(x - \alpha)^{-1}|| = \infty$  if  $x - \alpha$  is not invertible.

- 88. Let  $X := \mathbb{N}$ . Which of the following families of subsets form the open sets of a topology when augmented with the empty set and X?
  - (i)  $U_n := \{j \in \mathbb{N} | j \le n\}, n \in \mathbb{N}.$
  - (ii)  $U_n := \{j \in \mathbb{N} | j \ge n\}, n \in \mathbb{N}.$
  - (iii) all finite subsets of  $\mathbb N.$
  - (iv) all infinite subsets of  $\mathbb{N}$ .
- 89. Show that the closure satisfies the Kuratowski closure axioms.

- 90. Let X be a topological space and let  $A, A_{\alpha}, B \subset X$ , where  $\alpha$  is any index. Show:
  - (i)  $A \subseteq B \Rightarrow A^{\circ} \subseteq B^{\circ}$ (ii)  $A \subseteq B \Rightarrow \overline{A} \subseteq \overline{B}$ (iii)  $\left(\bigcap_{\alpha} A_{\alpha}\right)^{\circ} \subseteq \bigcap_{\alpha} (A_{\alpha})^{\circ}$ (iv)  $\bigcup_{\alpha} \overline{A_{\alpha}} \subseteq \overline{\bigcup_{\alpha} A_{\alpha}}$
- 91. Let  $X := \{1, 2, 3\}$  and define  $c : \mathfrak{P}(X) \to \mathfrak{P}(X)$  via  $c(\{1\}) = \{1\}, c(\{2\}) = \{1, 2\}, c(\{3\}) = \{2, 3\}$  and

$$c(U) = \bigcup_{x \in U} c(\{x\}), \quad U \subset X,$$

with the convention  $c(\emptyset) = \emptyset$ .

Which of the Kuratowski closure axioms does c satisfy? What are the closed sets according to c (i.e. the sets  $U \subset X$  such that c(U) = U)? Do they give raise to a topology? If yes, do we have  $\overline{U} = c(U)$  with respect to this topology?

92. Let X be a topological space and let  $U \subset X$ . Show that the closure and interior operators are dual in the sense that

$$X \setminus \overline{U} = (X \setminus U)^{\circ}$$
 and  $X \setminus U^{\circ} = \overline{(X \setminus U)}$ .

In particular, the closure is the set of all points which are not interior points of the complement. (Hint: De Morgan's laws.)

- 93. Let X be some nonempty set and define d(x, y) = 0 if x = y and d(x, y) = 1 if  $x \neq y$ . Show that (X, d) is a metric space. When are sequences convergent? When is X separable?
- 94. Show that in a (nonempty) Hausdorff space X singleton sets  $\{x\}$  (with  $x \in X$ ) are closed.
- 95. Let X be a metric space and denote by B(X) the set of all bounded functions  $X \to \mathbb{C}$ . Introduce the metric

$$d(f,g) = \sup_{x \in X} |f(x) - g(x)|, \quad f,g \in B(X).$$

Show that B(X) is complete.

96. Let X be a metric space and B(X) as in the previous problem. Consider the embedding  $J: X \hookrightarrow B(X)$  defind via

$$y \mapsto J(x)(y) = d(x,y) - d(x_0,y)$$

for some fixed  $x_0 \in X$ . Show that this embedding is isometric. Hence  $\overline{J(X)}$  is another (equivalent) completion of X.

97. Let X, Y be topological spaces and  $U \subseteq X, V \subseteq Y$ . Show that if  $f : X \to Y$  is continuous and  $\operatorname{Ran}(f) \subseteq V$ , then the restriction  $f : U \to V$  is continuous when U, V are equipped with the relative topology.

- 98. Let X, Y be topological spaces. Show that if  $f : X \to Y$  is continuous at  $x \in X$  then it is also sequential continuous at  $x \in X$ . Show that the converse holds if X is first countable.
- 99. Let X, Y be topological spaces and let  $f: X \to Y$  be continuous. Show that  $f(\overline{A}) \subseteq \overline{f(A)}$  for any  $A \subset X$ .
- 100. Let X be a topological space and  $f: X \to \overline{\mathbb{R}}$ . Let  $x_0 \in X$  and let  $\mathcal{B}(x_0)$  be a neighborhood base for  $x_0$ . Define

$$\liminf_{x \to x_0} f(x) := \sup_{U \in \mathcal{B}(x_0)} \inf_U f, \qquad \limsup_{x \to x_0} f(x) := \inf_{U \in \mathcal{B}(x_0)} \sup_U f.$$

Show that both are independent of the neighborhood base and satisfy

- (i)  $\liminf_{x \to x_0} (-f(x)) = -\limsup_{x \to x_0} f(x).$
- (ii)  $\liminf_{x \to x_0} (\alpha f(x)) = \alpha \liminf_{x \to x_0} f(x), \ \alpha \ge 0.$
- (iii)  $\liminf_{x \to x_0} (f(x) + g(x)) \ge \liminf_{x \to x_0} f(x) + \liminf_{x \to x_0} g(x).$

Moreover, show that

$$\liminf_{n \to \infty} f(x_n) \ge \liminf_{x \to x_0} f(x), \qquad \limsup_{n \to \infty} f(x_n) \le \limsup_{x \to x_0} f(x)$$

for every sequence  $x_n \to x_0$  and there exists a sequence attaining equality if X is a metric space.

- 101. Let X, Y be topological spaces and  $A \subseteq X$ ,  $B \subseteq Y$ . Show that  $(A \times B)^{\circ} = A^{\circ} \times B^{\circ}$  and  $\overline{A \times B} = \overline{A} \times \overline{B}$ .
- 102. Let X, Y be topological spaces. Show that  $X \times Y$  has the following properties if both X and Y have.
  - (i) Hausdorff
  - (ii) separable
  - (iii) first countable
  - (iv) second countable
- 103. Show that a subset K of a topological space X is compact if and only if it is compact with respect to the relative topology.
- 104. Consider  $X := \mathbb{R}$  with the lower semicontinuity topology  $\mathcal{O} := \{(a, \infty) | a \in \mathbb{R}\} \cup \{\emptyset, \mathbb{R}\}$ . Show that this is indeed a topology. Show that a set  $C \subset X$  is compact if and only if it has a minimum.
- 105. Show that a nonempty subset of  $\mathbb R$  is connected if and only if it is an interval.
- 106. Let X be a metric space and  $Y \subseteq X$ . Show  $\operatorname{dist}(x, Y) = \operatorname{dist}(x, \overline{Y})$ . Moreover, show  $x \in \overline{Y}$  if and only if  $\operatorname{dist}(x, Y) = 0$ .
- 107. Let  $X_{\alpha}$  be topological spaces and let  $X := \bigotimes_{\alpha \in A} X_{\alpha}$  with the product topology. Show that the product  $\bigotimes_{\alpha \in A} C_{\alpha}$  of closed sets  $C_{\alpha} \subseteq X_{\alpha}$  is closed.

108. Let  $\{(X_j,d_j)\}_{j\in\mathbb{N}}$  be a sequence of metric spaces. Show that

$$d(x,y) := \sum_{j \in \mathbb{N}} \frac{1}{2^j} \frac{d_j(x_j, y_j)}{1 + d_j(x_j, y_j)} \quad \text{or} \quad d(x,y) := \sup_{j \in \mathbb{N}} \frac{1}{2^j} \frac{d_j(x_j, y_j)}{1 + d_j(x_j, y_j)}$$

is a metric on  $X = \bigotimes_{n \in \mathbb{N}} X_n$  which generates the product topology. Show that X is complete if all  $X_n$  are.